

## Client Information

Full Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Relationship to Deceased: \_\_\_\_\_

Name & Date of Trust: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Email: \_\_\_\_\_

Home Phone: (        ) \_\_\_\_\_ Work Phone: (        ) \_\_\_\_\_

Mobile Phone: (        ) \_\_\_\_\_ Fax: (        ) \_\_\_\_\_

Who referred you? \_\_\_\_\_

## Decedent/Trustmaker's Information

Was the trust an individual or joint trust? \_\_\_\_\_ Individual  Joint

### Grantor 1

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Middle Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date of Death: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Place of Death: \_\_\_\_\_

### Grantor 2

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Middle Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date of Death: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Place of Death: \_\_\_\_\_

Address of Surviving Grantor: \_\_\_\_\_

Mailing Address: \_\_\_\_\_ County: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Country: \_\_\_\_\_

Was the decedent married at the time of death? (Y /N ) \_\_\_\_\_

Spouse's Name	Date of Marriage	Date of Divorce/Death
_____	_____	_____
_____	_____	_____
_____	_____	_____

## Decedent's Children

If the Decedent had children, natural or adopted, please list the following information. It is critical that you list all children regardless of the situation or relationship, including any children given up for adoption or for which parental rights have been terminated.

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

Name: _____	Date of Birth: _____	Gender: _____	Adopted: (Y/N): _____
Social Security Number: _____	Current Address: _____		
Date of Death (if applicable): _____	If deceased, please provide the names of any living children*: _____		
_____			

**\*Including any children given up for adoption or for which parental rights have been terminated.**

## Beneficiary Information

Please list all individuals and/or charities listed in the Trust as a beneficiary. If there are more beneficiaries than will fit on this page, you may add these to the Notes section at the end or make an additional copy of this page.

Full Name: \_\_\_\_\_ Age: \_\_\_\_\_ Relation to Deceased: \_\_\_\_\_  
Social Security Number: \_\_\_\_\_ Percentage to Receive: \_\_\_\_\_  
Current Address: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Full Name: \_\_\_\_\_ Age: \_\_\_\_\_ Relation to Deceased: \_\_\_\_\_  
Social Security Number: \_\_\_\_\_ Percentage to Receive: \_\_\_\_\_  
Current Address: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Full Name: \_\_\_\_\_ Age: \_\_\_\_\_ Relation to Deceased: \_\_\_\_\_  
Social Security Number: \_\_\_\_\_ Percentage to Receive: \_\_\_\_\_  
Current Address: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Full Name: \_\_\_\_\_ Age: \_\_\_\_\_ Relation to Deceased: \_\_\_\_\_  
Social Security Number: \_\_\_\_\_ Percentage to Receive: \_\_\_\_\_  
Current Address: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Full Name: \_\_\_\_\_ Age: \_\_\_\_\_ Relation to Deceased: \_\_\_\_\_  
Social Security Number: \_\_\_\_\_ Percentage to Receive: \_\_\_\_\_  
Current Address: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Country: \_\_\_\_\_ Zip Code: \_\_\_\_\_

*\*If you are aware that any beneficiary has a guardian or if assets will be distributed in trust for any beneficiary, please provide the address of guardian and/or trustee of the testamentary trust. If any beneficiary listed is not surviving, please provide the date of death.*

## Financial and Investment Accounts

Please list all financial and investment accounts owned by the Trust or Decedent at the time of Decedent's death. Under Account Type, specify cash, checking, savings, money market, mutual fund, brokerage account, securities (stocks & bonds), certificate of deposit (CD), etc. Please provide a statement, if available, from each account from the date of death.

1. Name of Financial Institution: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

2. Name of Financial Institution: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

3. Name of Financial Institution: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

4. Name of Financial Institution: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

# Retirement Benefit Accounts

Please list all of Decedent's retirement accounts including: 401(k), IRA, Profit Sharing, Thrift Savings, etc.

1. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Beneficiaries: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

2. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Beneficiaries: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

3. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Beneficiaries: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

4. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Address: \_\_\_\_\_  
Beneficiaries: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_

# Retirement Benefit Accounts (Continued)

## Pension Plans

1. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Account Number: \_\_\_\_\_ Does the plan terminate at the death of the beneficiary? Yes  No   
Approximate Value: \_\_\_\_\_

2. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Account Number: \_\_\_\_\_ Does the plan terminate at the death of the beneficiary? Yes  No   
Approximate Value: \_\_\_\_\_

3. Company: \_\_\_\_\_ Phone Number: (     ) \_\_\_\_\_  
Account Number: \_\_\_\_\_ Does the plan terminate at the death of the beneficiary? Yes  No   
Approximate Value: \_\_\_\_\_

## Stocks and Bonds

### Stocks

1. Company: \_\_\_\_\_ Number of shares: \_\_\_\_\_  
Date Issued: \_\_\_\_\_ Book entry of certificate form: \_\_\_\_\_  
Certificate No. if in certificate form: \_\_\_\_\_ Account No. if in book entry form: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Type of ownership: \_\_\_\_\_ Approximate Value: \_\_\_\_\_

2. Company: \_\_\_\_\_ Number of shares: \_\_\_\_\_  
Date Issued: \_\_\_\_\_ Book entry of certificate form: \_\_\_\_\_  
Certificate No. if in certificate form: \_\_\_\_\_ Account No. if in book entry form: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Type of ownership: \_\_\_\_\_ Approximate Value: \_\_\_\_\_

3. Company: \_\_\_\_\_ Number of shares: \_\_\_\_\_  
Date Issued: \_\_\_\_\_ Book entry of certificate form: \_\_\_\_\_  
Certificate No. if in certificate form: \_\_\_\_\_ Account No. if in book entry form: \_\_\_\_\_  
Account Number: \_\_\_\_\_ Account Type: \_\_\_\_\_  
Type of ownership: \_\_\_\_\_ Approximate Value: \_\_\_\_\_

# Stocks and Bonds (Continued)

## Bonds

Please provide a copy of each bond.

- |    |  |                            |
|----|--|----------------------------|
| 1. | Date the bonds were issued:<br>_____                             | Type of bond:<br>_____     |
|    | How is the bond held? (jointly, payable on death, etc.)<br>_____ |                            |
|    | Maturity date:<br>_____  | Redemption value:<br>_____ |
- |    |  |                            |
|----|--|----------------------------|
| 2. | Date the bonds were issued:<br>_____                             | Type of bond:<br>_____     |
|    | How is the bond held? (jointly, payable on death, etc.)<br>_____ |                            |
|    | Maturity date:<br>_____  | Redemption value:<br>_____ |
- |    |  |                            |
|----|--|----------------------------|
| 3. | Date the bonds were issued:<br>_____                             | Type of bond:<br>_____     |
|    | How is the bond held? (jointly, payable on death, etc.)<br>_____ |                            |
|    | Maturity date:<br>_____  | Redemption value:<br>_____ |
- |    |  |                            |
|----|--|----------------------------|
| 4. | Date the bonds were issued:<br>_____                             | Type of bond:<br>_____     |
|    | How is the bond held? (jointly, payable on death, etc.)<br>_____ |                            |
|    | Maturity date:<br>_____  | Redemption value:<br>_____ |

List any additional information on work/retirement related accounts:

---

---

## Gift Tax Return

Did the Decedent ever file a federal gift tax return?

Yes  No

If yes, please provide a copy of all relevant documents

# Real Estate

*This will include residences, rental property, time shares, vacant land, oil and other mineral interests, etc. If you have a copy of the deed, please provide that as well.*

Please list all real estate that was owned by Trust or Decedent's name at the time of death.

1. **Type:** (Residence, rental, time share, vacant land, oil, and other mineral interests) \_\_\_\_\_  
Address/Location & Country: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Current Value: \$ \_\_\_\_\_  
Is the current value based off of an appraisal or the county assessor? \_\_\_\_\_

2. **Type:** (Residence, rental, time share, vacant land, oil, and other mineral interests) \_\_\_\_\_  
Address/Location & Country: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Current Value: \$ \_\_\_\_\_ Outstanding Mortgage: \$ \_\_\_\_\_  
Is the current value based off of an appraisal or the county assessor? \_\_\_\_\_

3. **Type:** (Residence, rental, time share, vacant land, oil, and other mineral interests) \_\_\_\_\_  
Address/Location & Country: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Current Value: \$ \_\_\_\_\_ Outstanding Mortgage: \$ \_\_\_\_\_  
Is the current value based off of an appraisal or the county assessor? \_\_\_\_\_

4. **Type:** (Residence, rental, time share, vacant land, oil, and other mineral interests) \_\_\_\_\_  
Address/Location & Country: \_\_\_\_\_  
Owner(s): \_\_\_\_\_  
Current Value: \$ \_\_\_\_\_ Outstanding Mortgage: \$ \_\_\_\_\_  
Is the current value based off of an appraisal or the county assessor? \_\_\_\_\_



# Tangible Personal Property

List jewelry, artwork, furs, antiques, gold, silver, or other valuable coins, cars, boats, etc.

*Please list all tangible personal property that Decedent owned at the time of death valued individually over \$5,000.00.*

1. Description: \_\_\_\_\_  
Ownership (Individual/Joint/Trust): \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_ Has the item been appraised?: (Y/N) \_\_\_\_\_  
Appraised Value: \$ \_\_\_\_\_ Current location of item: \_\_\_\_\_

2. Description: \_\_\_\_\_  
Ownership (Individual/Joint/Trust): \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_ Has the item been appraised?: (Y/N) \_\_\_\_\_  
Appraised Value: \$ \_\_\_\_\_ Current location of item: \_\_\_\_\_

3. Description: \_\_\_\_\_  
Ownership (Individual/Joint/Trust): \_\_\_\_\_  
Approximate Value: \$ \_\_\_\_\_ Has the item been appraised?: (Y/N) \_\_\_\_\_  
Appraised Value: \$ \_\_\_\_\_ Current location of item: \_\_\_\_\_

List any additional information regarding assets/etc. and include any copies of documents with the estimated value of each item (patent rights, copyrights, contract rights, etc.):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Decedent's Life Insurance Policies

Please provide the following information for all of Decedent's insurance policies. Also, please provide the policy documentation to us as well.

### Policy No. 1

Life Insurance Company: \_\_\_\_\_

Account No.: \_\_\_\_\_

Owner of Policy: \_\_\_\_\_

Insured: \_\_\_\_\_

Beneficiaries: \_\_\_\_\_

Type of Policy: Term  Whole/Universal  Accidental/Travel

Death Benefit: \$ \_\_\_\_\_

Cash Value: \$ \_\_\_\_\_

Is there any loan against the policy? (Y/N) \_\_\_\_\_

If "yes", how much? \$ \_\_\_\_\_

### Policy No. 2

Life Insurance Company: \_\_\_\_\_

Account No.: \_\_\_\_\_

Owner of Policy: \_\_\_\_\_

Insured: \_\_\_\_\_

Beneficiaries: \_\_\_\_\_

Type of Policy: Term  Whole/Universal  Accidental/Travel

Death Benefit: \$ \_\_\_\_\_

Cash Value: \$ \_\_\_\_\_

Is there any loan against the policy? (Y/N) \_\_\_\_\_

If "yes", how much? \$ \_\_\_\_\_

### Policy No. 3

Life Insurance Company: \_\_\_\_\_

Account No.: \_\_\_\_\_

Owner of Policy: \_\_\_\_\_

Insured: \_\_\_\_\_

Beneficiaries: \_\_\_\_\_

Type of Policy: Term  Whole/Universal  Accidental/Travel

Death Benefit: \$ \_\_\_\_\_

Cash Value: \$ \_\_\_\_\_

Is there any loan against the policy? (Y/N) \_\_\_\_\_

If "yes", how much? \$ \_\_\_\_\_

## Decedent's Advisors

Please provide the following information for all of Decedent's advisors.

### Personal Attorney:

Firm Name:

Address:

Phone:

### Financial Planner:

Company Name:

Address:

Phone:

### Accountant:

Company Name:

Address:

Phone:

### Funeral Home:

Address:

Phone:

# Client Checklist for Documentation

1. Signed copy of the Trust.
2. Certified copy of Death Certificate.
3. Any Prenuptial, Marital, or Community Property Agreement.
4. Current Deeds and Appraisals to all real estate in which Decedent had or shared title.
5. Mineral Deeds for all mineral/royalty interests which Decedent had or shared title, together with copies of production reports showing amounts paid to date and/or 1099s for any royalties paid and/or statements from oil companies showing year-to-date payment through the date of death. **Valuation of minerals is often a very controversial topic since the Internal Revenue Service (“IRS”) has internal guidelines of what they accept for valuing minerals. These internal guidelines are weighted in favor of the IRS. The alternative to accepting the IRS’s valuation of mineral interests, is to have a Petroleum Geologist analyze the mineral interest and issue an opinion concerning the fair market value of minerals. It is always up to the Trustee/Personal Representative or Surviving Party in Interest to decide whether to incur the additional cost of a Petroleum Engineer’s Opinion and to be prepared to litigate with the IRS concerning the difference in value.**
6. Copies of all U.S. Savings Bonds and their redemption value which Decedent had or shared title *as of the date of death*.
7. Copies of all Bank and Financial Statements, including checking, savings, certificates of deposit, money market, and other financial accounts showing the account balance *as of the date of death*.
8. Copies of all Stock/Brokerage Account Statements in which Decedent had or shared title showing balance *as of the date of death*.
9. Copies of all physical Stock Certificates which Decedent had or shared title, and the value of any such stock *as of the date of death*.
10. Titles of Vehicles (i.e. - cars, trucks, boats, trailers, motorcycles, etc.) and market value *as of date of death*.
11. Any mortgages and promissory notes secured by real estate, or other note payables with balance owed *at death*.
12. Business agreements such as buy sell or corporate redemption agreements.
13. Itemization of any and all livestock or other registered animals.
14. Itemization of any special collectibles (i.e. coins, firearms, precious gems, furs, artwork, etc.) with documents stating the current market value of said collectible *as of the date of death*.
15. Life Insurance Policies and Annuity Contracts with benefit proceeds statement. If the Decedent owned a policy of life insurance insuring another’s life, then we need a statement regarding who the insured is, the beneficiary of the policy and the *cash value* (not face value) of the policy.
16. A copy of all Promissory Notes or Notes Receivables for which money was owed to the Decedent at death and a statement reflecting the balance due *as of the date of death*.

